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Practitioner's Docket No. 1999DE133/C1/D

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Weber, et al.

Application No.: 10/807,634

Group No.: 1626

Filed: 3/24/04

For: PIGMENT DISPERSANTS BASED ON DIKETOPYRROLOPYRROLE  
COMPOUNDS AND PIGMENT PREPARATIONS

Assistant Commissioner for Patent  
Washington, DC 20231

INFORMATION DISCLOSURE STATEMENT

CERTIFICATION UNDER 37 C.F.R. Sections 1.8(a) and 1.10\*  
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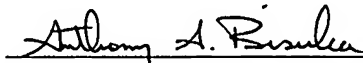
Dear Sir:

In accordance with the duty of disclosure provisions of 37 CFR §1.56, there is hereby provided certain information which the Examiner may consider material to the examination of the subject U.S. patent application. It is requested that the Examiner make this information of record if it is deemed material to the examination of the subject application. No admission is made that the information cited in this Statement is, or is considered to be, material to patentability nor a representation that a search has been made (other than search report(s) from a counterpart foreign application or a PCT International Search Report, if submitted herewith).

1. ☒ Enclosures accompanying this Information Disclosure Statement are:
  - 1a. ☒ Form PTO-1449.
  - 1b. ☒ Copies of Information Disclosure Statement citations.
  - 1c. ☒ EPO Search Report for application no. EP 00124596, mail date 9/16/2003.
  - 1d. ☐ Copies of Copending U.S. Applications.
2. ☐ This Information Disclosure Statement is filed under 37 CFR §1.97(b) with the filing of the U.S. patent application. Accordingly, no fee or §1.97(e) Statement is required.
3. ☒ This Information Disclosure Statement is filed under 37 CFR §1.97(b) before the latter of three months after the U.S. patent application filing date or the first Office Action on the merits. Accordingly, no fee or §1.97(e) Statement is required.
4. ☐ This Information Disclosure Statement is filed under 37 CFR §1.97(c) after the first Office Action on the merits, but before a Final Office Action or a Notice of Allowance. The \$180.00 fee set forth in 37 CFR §1.17(p) in accordance with 37 CFR §1.97(c) is to be charged to Deposit Account No. 03-2060.
5. ☐ This Information Disclosure Statement is filed under 37 CFR §1.97(e) in that each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. Accordingly, no fee is required.
6. ☐ This Information Disclosure Statement is filed in conjunction with a Request For Continued Examination. Accordingly, no fee or §1.97(e) Statement is required.

7. ☒ The English language documents identified by reference in the TRANSLATION Section of the foreign patents list of the attached 1449 Form are believed to be a concise statement, or the equivalent or substantial equivalent to the foreign language documents cited in the attached 1449 Form.

Respectfully submitted,

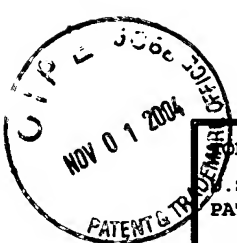


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FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (37 CFR 1.98(b))	ATTY. DOCKET NO. 1999DE133/C1/D	SERIAL NO. 10/807,634
	APPLICANT: Weber, et al.	
	FILING DATE: 3/24/04	GROUP:

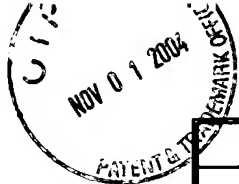
## U.S. PATENT DOCUMENTS

Examiner Initial		Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	4,310,359	01/12/82	Ehashi et al.	106	288	
	AB	4,914,211	4/3/90	Jost et al.	548	435	
	AC	4,931,566	6/5/90	Surber et al.	548	453	
	AD	4,986,852	01/22/91	Dietz et al.	106	498	
	AE	5,071,483	12/10/91	Dietz et al.	106	498	
	AF	5,261,032	11/9/93	Rocchetti et al.	395	141	
	AG	5,264,032	11/23/93	Dietz et al.	106	411	
	AH	5,380,870	1/10/95	Hari et al.	548	453	
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	AK	5,837,160	11/17/98	Dietz et al.	252	299.01	
	AL	6,066,202	05/23/00	Wallquist et al.	106	494	
	AM	6,409,816	6/25/02	Weber et al.	106	494	
	AN	6,566,519	5/20/03	Nickel et al.	544	336	

## FOREIGN PATENT OR PUBLISHED FOREIGN APPLICATION

		DOCUMENT NUMBER	PUB. DATE	COUNTRY			TRANSLATION
	BA	DE 31 06 906	01/14/82	Germany			See AC above
	BB	EP 0 224 445	6/3/87	Europe			See AB above
	BC	EP 0 321 919	06/28/89	Europe			See AD above
	BD	DE 40 11 927	10/18/90	Germany			English Abstract
	BE	JP H3-26767	2/5/91	Japan			English
	BF	EP 0 636 666	2/1/95	Europe			See AI above
	BG	EP 0 763 572	3/19/97	Europe			See AJ above
	BH	EP 0 877 058	11/11/98	Europe			See AL above
	BI	EP 0 962 499	12/8/99	Europe			English

EXAMINER	DATE CONSIDERED
EXAMINER: Initial citation considered. Draw line through citation if not in conformance with MPEP §609 and not considered. Include copy of this form with next communication to applicant.	



FOREIGN PATENT OR PUBLISHED FOREIGN APPLICATION							
		DOCUMENT NUMBER	PUB. DATE	COUNTRY			TRANSLATION
	BF						
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	BU						
	BV						
	BW						
	BX						
	BY						
	BZ						

## OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication\*\*)

	CA		Machine Assisted English Translation of Unexamined Patent 2000273346, published October 3, 2000.
	CB		
	CC		
	CD		
	CE		
	CF		
	CG		
	CH		
	CI		
EXAMINER			DATE CONSIDERED
EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.			